Seventieth session
Item 73 (b) of the provisional agenda*
Promotion and protection of human rights: human rights questions, including alternative approaches for improving the effective enjoyment of human rights and fundamental freedoms

Right to education

Note by the Secretary-General**

The Secretary-General has the honour to transmit to the General Assembly the report of the Special Rapporteur on the right to education, Kishore Singh, in accordance with Human Rights Council resolutions 8/4, 17/3 and 26/17.

---

* A/70/150.
** The report was submitted late in order to reflect the latest information.
Report of the Special Rapporteur on the right to education

Summary

The report is submitted pursuant to Human Rights Council resolutions 8/4, 17/3 and 26/17. The Special Rapporteur examines public-private partnerships in education, which are inextricably linked to rapidly expanding privatization. He highlights their implications for the right to education and for the principles of social justice and equity. Lastly, he offers a set of recommendations with a view to developing an effective regulatory framework, along with implementation strategies for public-private partnerships in education, in keeping with State obligations for the right to education, as laid down in international human rights conventions, and the need to safeguard education as a public good.

Contents

I. Introduction ................................................................. 3
II. Right to education and the global dynamics of public-private partnerships ............... 6
III. Diverse modalities and arrangements of public-private partnerships in education: need for a differentiated approach .......................................................... 8
IV. Key challenges ......................................................... 9
   A. Financing education through public-private partnerships ................................. 9
   B. Fostering philanthropy .................................................. 10
   C. Quality imperatives and public-private partnerships in education ..................... 11
   D. Public-private partnerships and skills development through technical and vocational education and training ............................................................. 13
V. International legal framework for the right to education, State obligations and public-private partnerships ................................................................. 14
VI. Public-private partnerships in education: key principles ..................................... 15
VII. Regulating public-private partnerships and oversight and monitoring mechanisms .......... 17
   A. Regulatory framework for public-private partnerships in education .................... 17
   B. Accountability and monitoring mechanisms .................................................... 19
   C. Monitoring public-private partnerships in education and the human rights treaty bodies 20
VIII. Justiciability and enforcement of the right to education under public-private partnerships 20
IX. Public-private partnerships in the context of the post-2015 sustainable development agenda ................................................................. 21
X. Conclusions and recommendations ........................................... 21
I. Introduction

1. The present report is submitted to the General Assembly pursuant to Human Rights Council resolutions 8/4, 17/3 and 26/17. The Special Rapporteur builds on previous reports on regulating private providers in education and safeguarding education as a public good (A/HRC/29/30) and privatization and the right to education (A/69/402). As demonstrated in the latter report, besides undermining the norms and principles of the right to education, as laid down in international human rights conventions, privatization is detrimental to education as a public good.

2. The rapid expansion of privatization caused by the deregulation and liberalization of the education sector has facilitated a push towards public-private partnerships. Those partnerships are inextricably linked with privatization. In the present report, the Special Rapporteur examines the global dynamics of public-private partnerships in education. He highlights the challenges of public-private partnerships in education in safeguarding education as a public good, bearing in mind the international legal framework for the right to education, as well as the principles of social justice and equity. The Special Rapporteur proposes a regulatory framework based on certain key principles of the right to education. Lastly, he offers a set of recommendations with a view to developing an effective regulatory framework for public-private partnerships in education, in keeping with State obligations for the right to education, as laid down in international human rights conventions.

3. During the reporting period, the Special Rapporteur undertook missions to Bhutan and Algeria and presented his thematic report on protecting education from commercialization to the Human Rights Council at its twenty-ninth session (A/HRC/29/30). In the report, he examined how the right to education can be protected from commercialization, with a focus on the challenges arising from for-profit private schools.

4. The Special Rapporteur also participated in public events on education and continued to collaborate with States, international organizations and non-governmental organizations (NGOs).

5. On 25 August, 2014, the Special Rapporteur gave a lecture on the post-2015 development agenda and the right to education at the Faculty of Legal Studies, South Asian University, New Delhi.

6. On 26 August, he addressed officials of various states of India during an orientation workshop on the Right to Education Act at the National University for Educational Planning in New Delhi.

7. On 2 September, the Special Rapporteur gave a lecture at the Indian Society of International Law in New Delhi on the theme of “The right to education as a human right: challenges and prospects for India”.


9. On 28 October, he was hosted by the Open Society Foundation to speak on his report to the General Assembly on privatization and the right to education (A/69/402).
10. From 4 to 6 November, he participated in the 2014 World Innovation Summit for Education in Doha on the theme of “Imagine-create-learn: creativity at the heart of education”.

11. From 10 to 12 November, the Special Rapporteur attended the World Conference on Education for Sustainable Development, held in Aichi-Nagoya, Japan, where he was a panellist at a plenary session.

12. On 20 November, he gave the inaugural address at a meeting on “service learning” organized by the University of La Rioja, Spain.

13. On 25 November, he gave a guest lecture at Queen’s University in Belfast, United Kingdom of Great Britain and Northern Ireland, on the theme of “State responsibility for the provision of quality education to every child”.

14. From 28 to 30 November, the Special Rapporteur attended a meeting of the World Human Rights Forum in Marrakech, Morocco, interacting with many civil society organizations and focusing on the right to education in his address at the closing ceremony.


16. From 9 to 11 January 2015, the Special Rapporteur attended the World Congress on International Law, organized by the Indian Society of International Law in New Delhi, and co-chaired a plenary session on the implementation of international law.

17. On 15 January, he was a lead speaker at an event organized by the Right to Education Forum in India, in cooperation with the UNESCO New Delhi office, in connection with his report of 2014 to the General Assembly.

18. On 23 February, he spoke at the opening of the fifth World Assembly of the Global Campaign for Education, in Johannesburg, South Africa, underlining the need for safeguarding education against the forces of privatization. The World Assembly in its deliberations aligned itself fully with the recommendations he had made in his report of 2014 to the General Assembly.

19. On 18 March, the Special Rapporteur participated in a round table on privatization in education and social justice, organized at the Institut d’études politiques (Sciences Po) in Paris to examine issues of privatization in education. He also gave a public lecture on the theme of “Privatization in education: a new challenge for human rights in developing countries”.

20. On 20 March, he addressed the challenges of effective realization of the right to education in its interface with the right to development, as a panellist at an event on the implementation of economic and social rights and State obligations under the human rights conventions, organized by the Permanent Mission of Fiji to the United Nations Office and other international organizations in Geneva.

21. On 26 March, he gave a keynote address focusing on preserving education as a public good in the face of the negative effects of privatization at a session on the theme of the right to quality education and the post-2015 development agenda, organized on the occasion of the World Social Forum in Tunis.
22. On 15 April, the Special Rapporteur delivered a statement at the opening of the general discussion on the right to education of persons with disabilities, organized in Geneva by the Committee on the Rights of Persons with Disabilities, with a view to formulating a general comment on article 24 (right to education) of the Convention on the Rights of Persons with Disabilities.

23. On 22 April, he gave a guest lecture at Cornell Law School, New York State, on the negative effects of privatization in education, highlighting State obligations and the need for preserving education as a public good.

24. On 25 May, he gave the opening address at an international conference on the justiciability of the right to education and the post-2015 development agenda, organized by the Centre for Law and Policy Research in Bangalore, India, from 25 to 27 May.

25. On 10 June, the Special Rapporteur took part in a round-table expert consultation on public private partnerships in education, hosted by the Open Society Foundation and interacted with experts from universities in Europe, North America and Asia, while addressing the issues of private-public partnerships and the right to education in their interface with privatization in education.

26. On 10 June, he also took part in a seminar on the application of standards on economic, social and cultural rights in domestic law, hosted by the International Organization for the Right to Education and Freedom of Education and the Permanent Mission of Portugal to the United Nations Office and other international organizations in Geneva and, as the main speaker, focused on the justiciability of the right to education.

27. On 12 June, he spoke at an event on human rights policy responses to the growth of private actors in education, hosted by the Global Initiative for Economic, Social and Cultural Rights, the Right to Education Project, the Privatisation in Education Research Initiative and the Geneva Academy of International Humanitarian Law and Human Rights. His intervention underlined the need to address the concerns raised by growing privatization in education and the need for effective mechanisms to control abusive behaviour.

28. On 16 June, the Special Rapporteur spoke at the Human Rights Council panel on “Realizing the equal enjoyment of the right to education by every girl”. He emphasized the need to ensure the quality of education and a safe school environment for girls, and the State obligation to take a human rights approach to safeguarding girls’ right to education. He urged States to take positive measures.


30. From 22 to 27 June, he attended the nineteenth Conference of Commonwealth Education Ministers, hosted by the Bahamas, addressing the key issues relating to the right to education at the regional ministerial caucus. He also addressed the teachers’ forum organized during the conference, emphasizing the need for safeguarding education from privatization, especially its negative repercussions on the teaching profession.

31. On 23 July, he addressed a plenary session of the seventh World Congress of Education International, held in Ottawa, and underlined the importance of the
resolution adopted by the World Congress on privatization in and commercialization of education and also dwelt upon its repercussions for the teaching profession.

32. On 29 July, the Special Rapporteur participated in a workshop on the challenges of public-private partnerships in realizing the right to education, convened by the Oxford Human Rights Hub and the Open Society Foundation, and highlighted key challenges in his opening remarks. He also made concluding remarks, mentioning the importance of those discussions for the present report.

II. Right to education and the global dynamics of public-private partnerships

33. One can witness today the broad neoliberal policy trend towards the private provision of historically public services.¹ Privatization is being driven by an increasing number and range of public and private actors at the global, regional and national levels. The rapidly changing global landscape of education, with the phenomenon of public-private partnerships as a form of management and service delivery in education, is in part prescribed by the donor community and international financial institutions. The private sector is now deeply embedded in education at all levels from policy and research work to delivering learning in classrooms.² States cease to be fully responsible for the provision of education directly to their citizens but rather assume the role of a contractor of services delivered by a range of private providers.

34. The World Bank and the International Finance Corporation have been key proponents of public-private partnerships and have been particularly instrumental in facilitating the replication of what they consider to be successful pilots or experiments in partnerships between Governments and the private sector. A small cluster of large, powerful, global management firms have taken large interests in such public-private partnerships.² Outsourcing education activities to profit-making corporations opens the space for them not only to make a profit, but also to steer education agendas in ways that may not be in the best interest of students, parents and teachers and thus societies as a whole.

35. In its resolution 1.1 on privatization and commercialization in and of education, the seventh World Congress of Education International, held in Ottawa in July 2015, deplored the fact that, in many countries, Governments had abrogated their core responsibility to ensure the right to education for all through a fully accountable free, quality public education system and were increasingly turning to partnering with, or subsidizing, private actors to deliver education.

36. Multi-stakeholder initiatives are being propounded as innovative models to bring together corporations, Governments and civil society organizations under the guise of public-private partnerships to tackle global issues. That often negates

² See Susan L. Robertson and Antoni Verger, “Governing education through public private partnerships”, Centre for Globalisation, Education and Societies, University of Bristol, United Kingdom (2012).
reality by refusing to examine the power structures and vested interests that motivate players and must be critically looked into.  

37. Various non-State providers or stakeholders in education — the private sector, NGOs, civil society organizations, communities and foundations — have different motives and interests and may pursue different objectives. The private sector generally pursues its business interests and is motivated by profit, whereas community and civil society organizations and foundations are often devoted to social services in a philanthropic spirit and should be distinguished from the for-profit private actors.

38. The State has the primary responsibility for the provision of public education. Other stakeholders, including private partners, have a social responsibility when they offer to join hands with Governments to complement their efforts and serve education as a social cause. In all situations, public-private partnerships must be underpinned by State responsibility, as well as a societal interest in education. That responsibility is ignored by the proponents of public-private partnerships who advocate the need for an “enabling environment” conducive to the interests of the private sector.

39. With a wide range of arrangements and modalities, public-private partnerships in education, like privatization, are becoming endemic in education at all levels. Proponents of public-private partnerships see them as the best way to overcome ineffective government mechanisms and as a potential means to introduce innovations in teaching strategies, increase flexibility, broaden participation and complement the strengths and resources of the State through the provision of books, infrastructure and other goods and services for basic education.

40. Massive open online courses, portrayed as an alternative path to gaining access to higher education, can also involve “creating partnerships between educational institutions in developed and developing countries, Governments, development agencies, or the private sector”. However, the commercial interests of providers, which enable them to “enter the higher education market using a [massive open online courses approach]”, can be the raison d’être for offering such courses.

41. Cross-border higher education, which involves international branch campuses and direct foreign ownership or investment in national educational institutions, is being promoted under the guise of public-private partnerships.

42. In her introductory remarks to an online workshop on the challenges of public-private partnerships in realizing the right to education, organized by the Oxford Human Rights Hub and the Open Society Foundations, the Director of the Human Rights Hub stated that public-private partnerships, where States incorporated private sector actors in one form or another in the provision of education, were rapidly growing, often in areas of disadvantage and poverty. However, that move has not

---


4 Clara Franco Yanez, “DeMOOCrazation of education? Massive open online course, opportunities and challenges: views from Mexico, Thailand and Senegal”, NORRAG (December 2014).

been driven by human rights concerns. On the contrary, a human rights perspective has often been absent from the discourse.\textsuperscript{6}

43. The impact of public-private partnerships on education needs careful consideration. Key issues include: whether it results in public disinvestment in education to the advantage of private sector; whether it is an abdication of State responsibility to meet its obligation to provide quality public education to all its citizens; the repercussions on education as a public good; and whether it is undermining the norms and principles of the right to education.

\section*{III. Diverse modalities and arrangements of public-private partnerships in education: need for a differentiated approach}

44. Public-private partnerships in education may take many forms and arrangements, such as contractual arrangements with the private sector for public school infrastructure or school management, to operate public schools or manage certain aspects of public school operations.\textsuperscript{7} Public-private partnerships can also involve government purchases of education services delivered by private schools or private entities.\textsuperscript{8} Capacity-building initiatives, the training of public school teachers and curriculum enhancement programmes delivered by the private sector are other forms of public-private partnerships. Voucher systems, which provide government grants for students from low-income families to enrol in private schools, also amount to public-private partnerships. Another modality of public-private partnerships is the provision of cash and in-kind resources by private sector partners to complement government funding of public schools or “adopt a school” programmes.

45. In contrast, one important form of public-private partnership is private sector philanthropy: assistance provided by a private organization or individual ranging from scholarships and sponsorships to supplies, uniforms, teachers’ fees, school facilities, furniture and equipment.

46. In its resolution 68/234, the General Assembly stated that partnerships were voluntary and collaborative relationships between various parties, both public and non-public, in which all participants agreed to work together to achieve a common purpose or undertake a specific task and, as mutually agreed, to share risks and responsibilities, resources and benefits. In the case of the right to education, of which society as a whole is a beneficiary, partnerships have a clear common purpose: to promote human development and social well-being. The norms and principles of the right to education provide a framework for partnerships to be predicated upon convergence rather than divergence of interest. The right to education is not a for-profit venture for public authorities. Nor should education be allowed to become a for-profit venture for private providers or partners.

\textsuperscript{6} Country experiences and issues of critical importance are examined in the report on the workshop, available from http://ohrh.law.ox.ac.uk/summary-report-from-oxhrh-osh-online-workshop-on-the-challenges-of-public-private-partnerships-in-realising-the-right-to-education/.

\textsuperscript{7} For example, charter schools (United States of America), concession schools (Colombia) and management of government schools (Lahore, Pakistan).

\textsuperscript{8} For example, Education Service Contracting (in the Philippines) and Fe y Alegría (in Latin America and the Caribbean and Spain, among others).
47. The Special Rapporteur considers that a critical appraisal of public-private partnerships in education is important for public policy responses. He outlines herein some areas which he considers to be of key importance in meeting the challenges of public-private partnerships in education.

IV. Key challenges

A. Financing education through public-private partnerships

Mobilizing maximum resources for education as a human rights obligation

48. Reduced public investment in education can be seen in many developing countries, resulting from privatization and public-private partnerships in education. Governments turn to donors, non-governmental funding and the private sector to overcome resource constraints. The Special Rapporteur emphasizes that devoting maximum resources to the right to education as a foundation for development is a human rights obligation of States. He commends the Incheon Declaration on inclusive and equitable quality education and lifelong learning for all, adopted at the World Education Forum in May 2015, in which Governments are encouraged to commit between 15 and 20 per cent of national budgets, or between 4 and 6 per cent of gross domestic product (GDP), to education. Public investment in education as a high development priority is not only a legal obligation of Governments, it is also their moral obligation to respect education as a public good.

49. Rather than relying on private financial support for education through public-private partnerships, Governments should mobilize maximum national resources for education. In a declaration of 7 July 2015, the participants in the Oslo Summit on Education for Development, held in July 2015, recognized that “the provision of education is a national responsibility”. Governments can resort to many other modalities for enhancing national investment in education. They can increase tax-to-GDP ratios and stop giving tax incentives, including long tax holidays, to companies to solicit foreign investment. They can also gain by monitoring and controlling aggressive tax avoidance. The United Nations Conference on Trade and Development estimates that developing countries lose more than $100 billion a year to tax avoidance, nearly half of which is lost from Africa.9

Voucher systems: a drain on public investment in education

50. Voucher systems, which support parents to send students to public or private schools, amount to public-private partnership arrangements, which promote privatization. The experience of Chile demonstrates the negative consequences of a voucher system in creating social stratification. Education service contracting in the Philippines, providing a public subsidy for each student opting to enrol in eligible private schools, is fraught with similar consequences, exacerbating inequities and social segregation.10 The Punjab Education Foundation in Pakistan, which sources funds from donors and financial institutions (in mixed loans and grants) and


10 See Asia South Pacific Association for Basic and Adult Education, “Gain or drain? Understanding public-private partnerships in education, a primer” (2013).
allocates vouchers to selected private schools, allows private individuals to manage government-created foundations as public-private partnerships, which is detrimental to public investment in education.

51. Governments should abolish voucher systems which support private providers at the cost of public education systems. States should instead take promotional measures in line with their obligations concerning the right to education, including through the introduction of schemes of financial support. Article 13 of the International Covenant on Economic, Social and Cultural Rights provides for “an adequate fellowship system” among its provisions on the right to education and, in its general comment No. 13 on the right to education, the Committee on Economic, Social and Cultural rights stated that “the fellowship system should enhance equality of educational access for individuals from disadvantaged groups”. Similarly, article 3 (c) of the UNESCO Convention against Discrimination in Education lays down the criteria of “merit or need” with regard to “grant of scholarships or other forms of assistance to pupils”.

**Doing away with subsidies and financial support to private providers**

52. Some Governments provide subsidies and financial support to private providers instead of investing in expanding educational opportunities in public education, thus neglecting or abandoning their core responsibility.

53. Public-private partnerships are increasingly promoted as a way to finance development projects. Donor Governments and international financial institutions, such as the World Bank, have set up multiple donor initiatives to promote changes in national regulatory frameworks to allow for public-private partnerships, as well as providing advice and finance to public-private partnership projects.

54. It has been found in a recent report on the nature and impact of public-private partnerships that they are, in most cases, the most expensive method of financing, significantly increasing the cost to the public purse, typically very complex to negotiate and implement, and all too often entailing higher construction and transaction costs than public work.\(^{11}\)

**B. Fostering philanthropy**

55. Philanthropy dedicated to social causes is a plausible dimension of public-private partnerships. True philanthropy is devoid of private interest and can support and supplement government investment in education, as well as the provision of education under public-private partnership arrangements. Educational establishments for philanthropic purposes, which are not profit driven but promote education as a social good, are valuable for generating social support for education. Public policies can foster foundations of public utility devoted to the cause of education.

56. Besides, corporate social responsibility can support the development of education and must be fully harnessed. All those valuing education as a public good and as a social cause, as against for-profit education, can be encouraged by an

---

enabling environment and good governance to contribute to the development of education in innovative ways, such as creating a special trust fund for the purpose of maximizing national investment in education.

57. Many examples of philanthropy exist. For example, the Azim Premji Foundation in India cooperates with the Government in expanding education opportunities in the country. The Fondation nationale des sciences politiques in France is another example of public-private partnerships in education serving the public purpose. Engaged in imparting quality and excellence in education, it also involves private support, serving education as a social cause rather than a commercial pursuit.

58. The modalities of public-private partnerships when local public authorities work with communities and NGOs to construct or establish schools are different to those in which Governments enter into partnership with individual proprietors or private enterprises. In some circumstances, community schools may be sources of innovation, leading to novel teaching methods, curricula or school management practices that can improve the public system. In that respect, the Special Rapporteur refers to the report of the Intergovernmental Committee of Experts on Sustainable Development Financing (A/69/315) in which it acknowledged that “philanthropy, i.e., voluntary activity by foundations, private citizens and other non-State actors, has significantly expanded in its scope, scale and sophistication”. In its report, the Committee stated that policymakers had recently shown considerable interest in a class of development financing opportunities called “blended finance”, which pooled public and private resources and expertise. Those included structured public-private funds and innovative “implementing partnerships” among a wide range of stakeholders, including Governments, civil society, philanthropic institutions, development banks and private for-profit institutions. The Committee further stated that “it is important to note, however, that poorly designed public private partnerships and other blended structures can lead to high returns for the private partner, while the public partner retains all the risks”. Careful consideration needs to be given to the appropriate use and structure of blended finance instruments.

59. It is important to note that, in its general comment No. 13, the Committee on Economic, Social and Cultural Rights stated that it would considered “the effects of the assistance provided by all actors other than States parties on the ability of States to meet their obligations under article 13”.

C. Quality imperatives and public-private partnerships in education

60. Without taking preventive measures against the deteriorating quality of education in public schools and lured by propaganda and false claims of better quality by private providers, Governments turn to the private sector in search of better management of education and even for running the education system.

61. A number of studies show that the quality of education provided in private schools is no better than those in public schools. In most countries in Europe, the

---

12 The Azim Premji University was established by Karnataka Act No. 14 of 2010 to “pursue any objectives as may be approved by the Government for enhancement of education and other development sectors in India”.

13 See United Kingdom Department for International Development, “The role and impact of private
quality of education provided in public schools is much better than in private schools.

62. The Special Rapporteur considers it important to recognize that quality in education is not improved by resorting to public-private partnerships, but by employing qualified teachers, providing quality teaching material and allocating sufficient budgetary support to improve quality. Investments to improve quality are rarely made because most education budgets are consumed by recurring expenses.

63. Governments enter into contracts with the private sector for designing, financing, constructing and operating the infrastructure of public schools. Outsourcing non-educational services allows the private sector to provide services at costs that Governments can rarely match. School supplies, cleaning services, operating school canteens, student transport, computers and technology, or maintenance services are typical examples. It must be recognized, however, that the provision of non-educational services and constructing educational establishments in accordance with agreed norms and standards is a factor in quality education.

64. Policies in the areas of standardized tests and curricula, pedagogy and teacher evaluation are also increasingly being promoted and literally “sold” to Governments in both the global North and South by international institutions and education corporations and foundations, among other private actors. Along with the preparation and supply of learning materials, those policies affect the content and delivery of education, impinging on its very objectives.

65. The State must keep a permanent watch with regard to minimum standards in education. If Governments outsource educational services, such as curriculum development, textbooks and other learning materials, to be developed by the private sector, care must be taken to ensure that they meet local needs and national educational standards. In its general comment No. 13, the Committee on Economic, Social and Cultural Rights considered that “the failure to ensure private educational institutions conform to the ‘minimum educational standards’ required by article 13 (3) and (4)” of the International Covenant on Economic, Social and Cultural Rights constituted a violation of the right to education.

66. Public-private partnerships introduce the dynamic of competition into public education, with measures considered to be valuable to the market, for example, proficiency in mathematics and knowledge of English. That fosters a corporatist culture. Public-private partnerships can have repercussions for the objectives of education, given that private partners from business will lay greater emphasis on materialistic values as against the humanist mission of education, which must be respected in all schools, including those involving public-private partnerships.

67. Qualified and trained teachers are essential for ensuring quality. As recognized in the joint UNESCO-International Labour Organization recommendation concerning the status of teachers (1966), “advance in education depends largely on the qualifications and ability of the teaching staff in general and on the human, pedagogical and technical qualities of the individual teachers”. However, “some

14 For example, private finance initiatives in the United Kingdom.
15 Para. 4. The recommendation provides a basis for developing national laws applying to all teachers in both private and public schools.
forms of PPPs frequently employ personnel who lack training and/or qualifications”.

D. Public-private partnerships and skills development through technical and vocational education and training

68. An important role devolves on public-private partnerships in education in the field of technical and vocational education and training. Skills development programmes in that field necessarily involve close collaboration with industry and enterprises. The Special Rapporteur considers that this area has not received consideration commensurate with its importance in the context of public-private partnerships. It calls for much greater recognition and focus.

69. The institutionalized collaboration between institutions providing technical and vocational education and training programmes and enterprises or industry is very weak in most developing countries, whereas that collaboration is key to running such programmes fruitfully, as demonstrated by policy and programmatic approaches in developed countries. In conducting such programmes, where industry and enterprises collaborate with Governments, the driving spirit is the contribution to industrial and economic growth as an overall objective shared and jointly accomplished by public authorities and the private sector in both their interests.

70. Governments in developing countries can and should establish and strengthen public institutions providing technical and vocational education and training programmes, working closely with industry and enterprises with the objective of imparting skills and fostering entrepreneurship. Private sector involvement is crucial in addressing the challenges of the skills gap. Public-private partnerships in technical and vocational education and training can encompass all aspects of such training, including financing, infrastructure, quality control, in-service training of instructors and establishment and review of vocational trades and technical courses.

71. The dual system practised in industrialized countries, such as Australia, Germany and Switzerland, whereby students, while pursuing technical and vocational education and training programmes, also take on an apprenticeship in an enterprise, is premised upon public-private partnerships. There is huge potential for the private sector to contribute to infrastructure and equipment in schools, training programmes for instructors in technical and vocational education and training and giving students a stipend when they undertake training in an enterprise. Private partners from industry and enterprises should also contribute to developing technical and vocational education and training programmes in schools, in particular when they collaborate with foreign enterprises.

72. In Viet Nam, for example, multinational manufacturing companies have partnered with universities to provide specialized courses in the workplace to train workers in work-specific skills. Scaling up such partnerships more broadly, through cost-sharing models, provides an example of where the interests of the public and private sectors most closely align and should be emulated more widely.

---

73. The key importance of skills development is recognized in goal 4.4. of the proposed sustainable development goals: “By 2030, substantially increase the number of youth and adults who have relevant skills, including technical and vocational skills, for employment, decent jobs and entrepreneurship.” The Special Rapporteur trusts that Governments in developing countries will be able to develop innovative modalities of public-private partnerships, harnessed for skills development, to respond to the imperatives of quality and the rising aspirations of young people.

V. International legal framework for the right to education, State obligations and public-private partnerships

74. Any modality or arrangement for public-private partnerships should always be driven by a human rights approach, giving paramount importance to the norms and principles of the right to education.

75. Universal access is an entitlement under the right to education. That right also empowers learners by providing the knowledge, values and skills needed to participate in society. Full consideration should be given to the impact of public-private partnerships in education on both those dimensions, bearing in mind State obligations to respect, fulfil and protect the right to education, for when a Government enters into partnership or joins hands with the private sector for the provision of education, those obligations remain constant. Public-private partnerships do not alter or diminish State obligations regarding the right to education, as laid down in international human rights conventions; on the contrary, the State remains accountable for its own actions, as well as those of its partner(s) and of all other providers of education within its jurisdiction. It must ensure that the right to education is respected, protected and fulfilled, with the latter incorporating an obligation to facilitate and provide.

76. When entering into partnership with private providers, the State should not divest itself of its responsibility. The European Court of Human Rights has held that, under the European Convention on Human Rights, a State cannot absolve itself from responsibility by delegating its obligations to private school bodies. That position is also reinforced by the Guiding Principles on Business and Human Rights, which emphasize that, when States delegate their responsibilities to businesses, they remain responsible for ensuring that their human rights obligations are being met.

77. Governments cannot divest themselves of their core public service functions. The State has the principal responsibility for “the direct provision of education in most circumstances”, on account of its international legal obligations.

---

18 See A/69/L.85 for the draft of the sustainable development goals for transmission to the General Assembly at its seventieth session.
19 See Committee on Economic, Social and Cultural Rights, general comment No. 13.
20 Costello-Roberts v. the United Kingdom, 25 March 1993, application No. 13134/87.
21 The Supreme Court of the United States stated in Wisconsin v. Yoder, 406 U.S.205, 92 S. Ct. 1526, 32 L.Ed.2d 15 (1972) that “Providing public schools ranks at the very apex of the function of a State,” and “education is perhaps the most important function of State and local governments.”
78. The State is responsible for providing the right to education as the apex of its public service functions; it also remains responsible when it provides such services in partnership with other education providers and non-State actors.

79. Where educational services are provided by private partners, States remain responsible for complying with human rights law. All arrangements for public-private partnerships in education, contractual or otherwise, are ipso facto subject to the norms and principles of the right to education. When collaborating with the private sector in discharging their public service functions, Governments cannot compromise on their international obligations regarding the right to education and must ensure that private providers also meet those standards.

80. A number of examples exist where public-private partnerships can be a social project for educational development, with the interests of various partners converging. For example, in Spain, contractual arrangements (conciertos económicos) between the regions and private education providers are a public-private partnership modality with agreed mutual rights and obligations.22 Similarly, "socialized education" in Viet Nam, seeking to increase financial and administrative support for schools from the community, is also a variant of a public-private partnership. Collaboration with communities based on social interest in education can lead to reinforcing public education systems.23 The programme to improve learning outcomes in South Africa represents a unique partnership between public authorities and South African businesses and teacher unions as a way to progressively implement the obligation to provide free quality education.

81. The State should preserve the fundamental nature of the right to education as a societal or public good, rather than a private good. It is therefore important to develop a human rights-based understanding in relation to several crucial issues raised by public-private partnerships in education.

VI. Public-private partnerships in education: key principles

82. The concept of “private” in public-private partnerships must be looked at from the perspective of the human rights framework. A public service supplied by any private provider is a “public” function, for which they are socially responsibility under human rights law. Any arrangement, contractual or otherwise, between the public (Government) and the private (a private entrepreneur, enterprise or entity) is and remains subject to human rights laws. All modalities and arrangements for public-private partnerships in education are therefore subject to the norms and principles of the right to education as being of overriding importance.

83. Public-private partnerships in education are not merely a matter of contractual arrangements in civil law, they are arrangements subject to human rights law, which remains of paramount importance.

84. The Special Rapporteur underlines the importance of the principles that govern the right to education and public-private partnerships in education.

---

22 See Organization Act No. 8/2013 for the improvement of quality of education.
Principle of participation

85. The principle of participation means exercising democratic citizenship, actively participating in the development of a country through a human rights-based approach. It does not mean profit-making while participating in education. No for-profit educational establishments should be allowed to operate in the name of participation in the education system.

Principle of social interest in education

86. When a private entity operates in education in collaboration with a public entity, the larger cause of education as a social good should not be compromised. The provision of education cannot be made subservient to private interests. Education is a public good and a social cause and public-private partnerships must be protected from the growing influence of a market-based model of private education.

87. Governments should ensure that public-private partnerships in education do not lead to the private sector building a stronghold in the education system through a process that is in fact geared to the advantage of private partners to the detriment of public education institutions and the public interest in education.

88. The norms and principles underlying the right to education should be fully respected in any arrangements of public-private partnerships in education. That should be the overriding concern in considering any proposal for such partnerships, in giving shape to them and throughout their operation.

Principles of social justice and equity

89. Public-private partnerships in education also present a number of concerns relating to social justice and human rights. States must ensure that education is valued and safeguarded as a public good. The principle of social justice, which is at the core of the global mission of the United Nations to promote development and human dignity, also underpins human rights.

Principle of progressive realization of the right to education as a State responsibility

90. The growing involvement of the private sector in education has come about because some States have been unable to adequately fund and develop their public education systems. However, when private providers, in partnership with Governments or alone, provide education, such provision must be considered merely to be a first step in the progressive realization of the right to education. Public planners must ensure that public-private partnerships or fee-based education systems are eliminated over time to avoid the inherent inequalities that such systems produce.

91. If a State enters into partnership with private providers, it must progressively assume full responsibility for the provision of education, as in the case of community schools built or run in collaboration with local authorities, which the Government takes over at a later date. Collaboration based on social interest in education can lead to public education systems being reinforced through public-private partnerships.
Principle of public accountability

92. Governments, and through them all providers of education, whether operating independently or jointly with Governments, remain accountable for respecting and fulfilling the right to education.

93. The accountability of Governments, linked to good governance and public-private partnerships, has been elucidated by the Prime Minister of India. In an address in 2013 he underlined the need to move forward from the “3 Ps: public-private partnerships” to the “4 Ps: people-public-private partnerships” necessary to bring about good governance, in which people remain at centre stage with a sense of ownership and Governments become accountable.

94. The Special Rapporteur emphasizes that accountability in education is part of the obligations of the State, so that Governments must be monitored and held responsible for their shortcomings in failing to fulfil their obligations for the realization of the right to free education and in failing to adhere to minimum educational standards of quality learning, even when outsourcing education to private actors.

95. The Special Rapporteur recalls the Incheon Declaration, in which “the fundamental responsibility” of Governments in implementing the future development agenda is reaffirmed, and the determination expressed “to establish legal and policy frameworks that promote accountability and transparency as well as participatory governance and coordinated partnerships at all levels and across sectors, and to uphold the right to participation of all stakeholders”.24

VII. Regulating public-private partnerships and oversight and monitoring mechanisms

A. Regulatory framework for public-private partnerships in education

96. Regulating public-private partnerships in education is a complex and challenging task, given that, under such arrangements, public authorities operate jointly with private entities and share responsibility with them. Private partners may have different and even conflicting motives and interests, seeking profit rather than giving primacy to the social interest in education.25 The State, however, remains the guarantor and regulator to ensure that the norms and principles of the right to education are respected in all situations, including public-private partnerships. Governments in developing countries lack the necessary expertise and experience when faced with the complex legal nature of public-private partnerships, and the specialized legal expertise available to multinational conglomerates operating in education may contribute to unbalanced public-private partnership agreements. Moreover, national legal frameworks for public-private partnerships must be in place before contractual agreements are negotiated.


97. The corporate sector has a long track record of seeking to avoid being regulated and numerous corporations have sued Governments for attempting to implement regulations that could harm their profits. “More accountability of UN partnerships with the private sector requires governments to build the intergovernmental structures required for monitoring and oversight.”

98. The Special Rapporteur refers to the recommendations of the Council of the Organization for Economic Cooperation and Development on principles for public governance of public-private partnerships, dated May 2012, in which the Council noted that the public governance framework for public-private partnerships should be set and monitored at the highest political level, for “better outcomes for the society as a whole through greater accountability and social control”, and underlined the importance of “strong public institutions”.

99. The need for a sound regulatory framework was also recognized in the report of the Intergovernmental Committee of Experts on Sustainable Development Financing (A/69/315).

100. The Special Rapporteur has already mentioned that a differentiated approach to public-private partnerships is necessary to distinguish partners with private business interests in education from those committed to a social interest in education, especially those with a genuinely philanthropic spirit. Moreover, stakes in public-private partnerships in the case of technical and vocational education and training are very different from those in education at basic or higher levels.

101. Accordingly, the Special Rapporteur considers that regulations on public-private partnerships in education should include three elements:

(a) Screening for all private partners engaged in for-profit businesses and pursuing private interests through a controlling environment;

(b) Promoting and supporting contributions to education in a philanthropic spirit;

(c) Creating an enabling environment to establish partnerships which promote technical and vocational education and training.

102. Laws and policies on public-private partnerships exist in many countries, but they are not adequate for facing the challenges that are emerging. In his earlier reports, the Special Rapporteur provided extensive guidance on the role of the State in regulating private providers (see A/69/402 and A/HRC/29/30). That advice applies equally to privately operated schools under a public-private partnership model and includes the need to ensure that public laws, policies and regulations prescribe in detail the rules under which public-private partnerships can be created, how they must operate, the standards that they must meet and the means by which they will be monitored.

103. In its resolution 68/234, the General Assembly also recognized the vital role played by Governments in promoting responsible business practices, including providing and ensuring enforcement of the necessary legal and regulatory frameworks in accordance with national legislation and development priorities.

104. Regulations must ensure that public-private partnerships in education are harnessed to the broader public interest and do not compromise the humanistic mission of education. They should be prescriptive as regards the norms and
standards for quality in educational institutions under such arrangements. Prescriptive regulations are specifically necessary when a Government enters into a contractual partnership with a private service provider for school infrastructure, to ensure that this meets the norms and standards of educational requirements, with specific norms for infrastructure for technical and vocational education and training.

105. Moreover, regulations should be prohibitive as regards, for example, ill-founded propaganda and commercial advertising in education, misleading claims as to quality education by private partners and the repatriation of surpluses by foreign-owned private institutions.

106. In addition to being prescriptive and prohibitive, regulations should also be punitive, with sanctions for fraudulent and corrupt practices, such as false declarations for tax evasion, and corrupt individuals and complicit companies, as is the case with other criminal acts. Punitive regulations are necessary to control abusive practices by private partner(s). Those practices include corruption in procurement for schools infrastructure or in cross-border education, the false exaggeration of student results and other violations which result from for-profit corporate ownership.

107. The Special Rapporteur thus emphasizes the need for States to create a comprehensive regulatory framework to control public-private partnerships in education that is prescriptive, prohibitive and punitive.

B. Accountability and monitoring mechanisms

108. The failure to enforce and monitor the regulatory frameworks within which private schools operate has left the educational landscape open to corrupt practices and manipulation.

109. Regulations on accountability should prescribe disaggregated reporting on obligations, including financial reporting and performance measures. Those requirements should include human rights-based indicators and be sufficiently detailed to ensure that the right to education is being met.

110. The Special Rapporteur emphasizes the need for adequate reporting requirements and accountability mechanisms for public-private partnerships in education, so as to ensure the full compliance of private partners with the normative framework of the right to education, both as regards the global dynamics of public-private partnerships and public-private partnership arrangements at the national level.

111. States have the obligation under human rights law to establish conditions and standards for private education providers and maintain a transparent and effective system to monitor those standards with sanctions in case of abusive practices. That responsibility cannot be fulfilled through voluntary compliance systems or inadequate State monitoring and oversight.

112. It is important that States carefully enforce laws, rules and regulations through monitoring and enforcement. Governments should strengthen national human rights

---

mechanisms or ombudspersons so that they function with a reinforced mandate. Moreover, parliamentarians have a crucial role to play in monitoring public-private partnerships, not only in adopting laws, but also in taking up questions related to the enforcement of laws, rules and regulations.

C. Monitoring public-private partnerships in education and the human rights treaty bodies

113. The repercussions of privatization in education and the need for regulation is increasingly being recognized by the human rights treaty bodies, notably the Committee on the Rights of the Child, the Committee on the Elimination of Discrimination against Women and the Committee on Economic, Social and Cultural Rights.

114. The Special Rapporteur welcomes such developments and considers it important to take this further and look into public-private partnerships in education, in view of their linkage with privatization and its repercussions for the right to education and for State obligations.

VIII. Justiciability and enforcement of the right to education under public-private partnerships

115. It should be mandatory for private providers to be transparent.\(^27\) That is necessary to allow students, teachers and the community as stakeholders to take up with the public authorities those matters in public-private partnerships which are not in conformity with the right to education and seek remedies, especially in matters such as the management of schools under public-private partnerships. Care must be taken to ensure that negotiations for public-private partnerships are fully transparent and are not kept confidential.

116. Courts are recognizing that, when private providers contract to provide public services, they have an obligation not to impair human rights. In the case of the Juma Musjid primary school, where a public school was operated on property owned by a private trust, the South African Constitutional Court ruled that, while there was no positive obligation on the trust to provide education, there was an obligation on the trust not to impair the learners’ right to basic education by evicting the school from its premises.\(^28\) The Court held that the failure of a private textbook provider to deliver textbooks to all schools constituted a violation of the constitutional right to education, thus establishing that private providers become jointly responsible to the people whom they are serving. The Court also held that private companies providing a public service became accountable to the people in relation to the public power that they acquired and the public function that they performed. The commercial part of the organization “dependent on, or derived from, the performance of public

\(^{27}\) See Committee on the Rights of the Child, general comment No. 16 (2013) on State obligations regarding the impact of the business sector on children’s rights and the Guiding Principles on Business and Human Rights.

\(^{28}\) See Governing body of the Juma Masjid Primary School and others v Ahmed Asruff Essay N.O. and others, case CCT 29/10 (2011).
functions is subject to public scrutiny, both in its operational and financial aspects”.

117. Such cases create an important precedent that should be observed by all States. While the State retains primary responsibility for meeting its human rights obligations, whenever it enters into agreements with private providers, those agreements should stipulate that the private partner or company acknowledges the public responsibilities that they are taking on to respect the right to education.

IX. Public-private partnerships in the context of the post-2015 sustainable development agenda

118. The current euphoria for global partnerships in the post-2015 development agenda must recognize the challenges that public-private partnerships present to States, which must respect, protect and fulfil the right to education. Goal 4.1 of the proposed sustainable development goals states: “By 2030, ensure that all girls and boys complete free, equitable and quality primary and secondary education leading to relevant and effective learning outcomes”, while proposed goal 17.16 stipulates that the global partnership for sustainable development be complemented by multi-stakeholder partnerships and that effective public, public-private and civil society partnerships, building on the experience and resourcing strategies of partnerships, should be encouraged and promoted.

119. The Special Rapporteur shares the concern that, without clear lines of accountability, there is an imminent risk of the development agenda being unduly shaped by private sector financing, activities and priorities. There is a need to “establish and enforce ex ante eligibility criteria for private sector partnerships” and “the governance of UN partnerships with business must be rooted in the international human rights framework”. He considers it important to focus on State obligations for the right to education and for regulating public-private partnerships in education in the context of multi-stakeholder initiatives. Achieving universal free secondary education of good quality for all as a goal does not admit of any partnership that undermines or compromises providing education free of cost. The Special Rapporteur hopes that, following the adoption of the Incheon Declaration in May 2015, the adoption of the Education 2030 framework for action will lead to reinforced commitments by Governments to attaining the goal of universal quality education for all free of cost. Public-private partnerships in education should not be allowed to compromise education as a social cause.

X. Conclusions and recommendations

120. The rapid expansion of privatization, owing to the deregulation and liberalization of the education sector, has led to a push towards more public-private partnerships. With a wide range of arrangements and modalities,
public-private partnerships in education, linked to privatization, are becoming endemic at all levels. Lured by false propaganda, Governments turn to the private sector in search of financial support, better management of education and even for running the education system.

121. The Special Rapporteur stresses that the State is responsible for providing the right to education as the apex of its public service functions. Even when Governments collaborate with non-State providers in education through public-private partnerships, the State remains both the guarantor and regulator of the right to education, on account of its obligation to respect, protect, promote and realize the right to education. That education is provided through public-private partnerships does not change the nature of the right to education and the related obligations.

122. The corrosive impact of public-private partnerships in education needs careful consideration. It must not lead to public disinvestment in education to the advantage of the private sector; nor must the State relinquish responsibility for providing quality public education. It must not undermine the norms and principles of the right to education; nor must it negatively affect education as a public good. Governments should take full care that public-private partnerships in education are not intertwined with the commercialization of education.

123. The Special Rapporteur considers it important that Governments take a critical view of the euphoria around partnerships in the context of the sustainable development goals, analysing the implications of public-private partnerships for the right to education and the repercussions for education as a social good. For multi-stakeholder initiatives, as well as public-private partnerships, they should ensure that those partnerships do not impede access to quality education for all, free of cost, as called for in proposed sustainable development goal 4. The pursuit of private interests and the commercialization of education should have no place in the education system of a country or in any future education agenda.

124. Bearing in mind the above and the challenges highlighted in the present report, the Special Rapporteur offers the following recommendations.

125. A differentiated approach to public-private partnerships is necessary to distinguish partners with for-profit business interests in education from those who are committed to a social interest in education, especially those with a genuine philanthropic spirit. When seeking partners, States should accord priority to those who act out of philanthropic interest and solicit partnerships with those who act in a public spirit. Governments should foster philanthropy, encouraging its contribution to education as a social cause. They should also encourage community participation in education. Governments should ensure that public-private partnerships in education do not lead to the private sector increasing its influence over education systems at the expense of the public interest. The norms and principles of the right to education should provide a framework for partnerships to be predicated upon convergence rather than divergence of interests.

126. In all situations, public-private partnerships must be underpinned by State responsibility and social interest in education. States should also
recognize that, in the case of technical and vocational education and training, public-private partnerships have characteristics that are very distinct from those in basic or higher-level education.

127. Regulating public-private partnerships in education is a complex and challenging task and requires strong public institutions and a sound regulatory framework. Regulations must ensure that public-private partnerships in education are harnessed to the broader public interest and reflect the humanistic mission of education. Regulatory frameworks governing public-private partnerships in education should be centred on the concept of education as a social good. They should seek to ensure responsible business practices, with effective enforcement in accordance with national education priorities.

128. States must create a comprehensive regulatory framework to control public-private partnerships in education that is prescriptive, prohibitive and punitive. Laws and policies in many countries should be modernized to respond adequately to the challenges of public-private partnerships.

129. Regulations for public-private partnerships in education should be composed broadly of:

(a) Screening all private partners engaged in business and pursuing private interests through a controlling environment;

(b) Promoting and supporting contributions to education in a philanthropic spirit;

(c) Creating an enabling environment to establish partnerships that promote technical and vocational education and training.

130. States, in particular developing countries, must develop innovative modalities for public-private partnerships, harnessed for skills development, to respond to the rising aspirations of young people, with institutionalized collaboration between institutions offering technical and vocational education and training and enterprises. They should also valorize the social esteem of technical and vocational education and training and lay down a legal framework that makes it imperative for industry and institutions offering technical and vocational education and training to collaborate to their mutual advantage.

131. Governments should develop adequate reporting requirements and accountability mechanisms for public-private partnerships in education, so as to ensure the full compliance of private partners with the normative framework of the right to education, along with conditions and standards laid down by Governments. The State has the responsibility to establish an oversight mechanism for public-private partnerships in education and must ensure compliance with its laws.

132. Public authorities, parent-teacher associations, civil society groups and teachers’ unions must closely monitor public-private partnerships in education. Public authorities should carefully review any commercial marketing and advertisement of education and take action in all cases of misleading claims as to quality, or any other fraudulent practices.
133. In continuity with the report on global corruption in education by Transparency International, UNESCO should undertake a full-scale investigation of corruption in public-private partnerships in education and expose any fraudulent and corrupt practices by private providers through its Education for All Global Monitoring Report.

134. Governments should strengthen existing human rights mechanisms, such as national human rights institutions and ombudspersons, or create special mechanisms to regularly oversee the operations of public-private partnerships in education, with *suo motu* investigatory powers to look into alleged violations by private partners and any abusive practices.

135. The human rights treaty bodies should give special consideration in their dialogues with States to examining their reports for linkages between public-private partnerships and increased privatization, with a view to identifying any repercussions for the right to education.

136. Parliamentarians, in particular members of education commissions or committees, play a key role in laying down regulatory frameworks for public-private partnerships in education. They should ensure that the right to education is fully respected, protected and fulfilled in their country.

137. Public-private partnerships in education should not lead to reduced government investment in education, but should be complementary to the maximum resources that Governments can provide for the right to education.

138. Public sector borrowing is normally the lowest-cost way to raise money and improving tax collection and reducing national and international tax avoidance can do more to increase education spending than any amount of private investment. Financial support for education can also be mobilized through corporate social responsibility.

139. The State remains primarily responsible for fulfilling the right to education on account of its international legal obligations. It must discharge its responsibility as the guarantor and regulator of education as a fundamental human right of every child.

140. Governments should exercise caution as to any advice offered by international organizations, such as the World Bank or the International Finance Corporation, or from private companies supported by them, to the effect that they should relinquish their responsibility for education to private actors. If such advice were sound, it would have been adopted by the wealthiest nations. Instead, the top-performing education systems in the world, in Asia, Europe and North America, are predominantly public systems.

141. States must expand public educational opportunities, recognizing the paramount importance of investment in education as their essential obligation. They must respond to the challenges of restoring public trust in public education systems as regards their capacity to provide quality education. Governments should recognize that the highest-quality education, for the lowest cost, universally available for all, will always come from an effective public system. Public-private partnerships may provide stopgap measures, as States work to progressively realize education systems that fully meet all aspects of the right to education.
142. The Special Rapporteur calls upon civil society organizations and the intellectual community, as well as students, parents and community associations, to expose the negative effects of public-private partnerships in education. He encourages them to voice their concerns more strongly and widely, as an essential function of the social compact for education, in an endeavour to forge a global movement against the negative impact and abusive practices of privatization and public-private partnerships in education, reducing it to a business. Their advocacy work for fostering social justice and equity is valuable to counter market-based approaches in education. Research, events and expert consultations on the effects of public-private partnerships in education and on the exercise and enjoyment of the right to education should be encouraged and supported.